

**Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

<b>1.</b>	<b>Name of Listed Entity: Uniphos Enterprises Limited</b>
<b>2.</b>	<b>Scrip Code/Name of Scrip/Class of Security: BSE- 500429, NSE SYMBOL - UNIENTER / Equity</b>
<b>3.</b>	<b>Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)</b>
	<b>a. If under 31(1)(b) then indicate the report for Quarter ending 30th September, 2020</b>
	<b>b. If under 31(1)(c) then indicate date of allotment/extinguishment</b>
<b>4.</b>	<b>Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-</b>

	<b>Particulars</b>	<b>Yes*</b>	<b>No*</b>
<b>1</b>	<b>Whether the Listed Entity has issued any partly paid up shares?</b>		<b>No</b>
<b>2</b>	<b>Whether the Listed Entity has issued any Convertible Securities or Warrants?</b>		<b>No</b>
<b>3</b>	<b>Whether the Listed Entity has any shares against which depository receipts are issued?</b>	<b>Yes</b>	
<b>4</b>	<b>Whether the Listed Entity has any shares in locked-in?</b>		<b>No</b>
<b>5</b>	<b>Whether any shares held by promoters are pledge or otherwise encumbered?</b>		<b>No</b>

**\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.**

**GENERATED ON :15/10/2020          NSDL : 30/09/2020          CDSL :30/09/2020**



# Uniphos Enterprises Limited

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
(A)	Promoter & Promoter Group	6	50207195	0	0	50207195	72.1955	50207195	0	50207195	72.1929	0	72.1955	0	0	0	0	50207195
(B)	Public	11393	19336154	0	0	19336154	27.8045	19336154	0	19336154	27.8035	0	27.8045	0	0	NA	NA	18811767
(C)	Non Promoter - Non Public	2	0	0	2500	2500	0.0000	2500	0	2500	0.0036	0	0.0000	0	0	NA	NA	1700
(C1)	Shares Underlying DRs	2	0	0	2500	2500	0.0000	2500	0	2500	0.0036	0	0.0000	0	0	NA	NA	1700
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	NA	NA	0
	Total	11401	69543349	0	2500	69545849	100.0000	69545849	0	69545849	100.0000	0	100.0000	0	0	0	0	69020662

GENERATED ON :15/10/2020

NSDL : 30/09/2020

CDSL :30/09/2020

**Uniphos Enterprises Limited**
**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
							No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
							Class eg: X	Class eg: y	Total								
(I)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
<b>1 Indian</b>																	
<b>(a) Individuals / Hindu Undivided Family</b>	<b>4</b>	<b>378523</b>	<b>0</b>	<b>0</b>	<b>378523</b>	<b>0.5443</b>	<b>378523</b>	<b>0</b>	<b>378523</b>	<b>0.5443</b>	<b>0</b>	<b>0.5443</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>378523</b>
Shilpa P Sagar	1	329123	0	0	329123	0.4733	329123	0	329123	0.4732	0	0.4733	0	0	0	0	329123
Jyotsna J Bhatt	1	47600	0	0	47600	0.0684	47600	0	47600	0.0684	0	0.0684	0	0	0	0	47600
Varun Jaidev Shroff	1	1300	0	0	1300	0.0019	1300	0	1300	0.0019	0	0.0019	0	0	0	0	1300
Tania Jaidev Shroff	1	500	0	0	500	0.0007	500	0	500	0.0007	0	0.0007	0	0	0	0	500
<b>(b) Central Government / State Government(s)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>(c) Financial Institutions / Banks</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>(d) Any Other (Specify)</b>	<b>2</b>	<b>49828672</b>	<b>0</b>	<b>0</b>	<b>49828672</b>	<b>71.6512</b>	<b>49828672</b>	<b>0</b>	<b>49828672</b>	<b>71.6487</b>	<b>0</b>	<b>71.6512</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>49828672</b>
<b>Bodies Corporate</b>	<b>2</b>	<b>49828672</b>	<b>0</b>	<b>0</b>	<b>49828672</b>	<b>71.6512</b>	<b>49828672</b>	<b>0</b>	<b>49828672</b>	<b>71.6487</b>	<b>0</b>	<b>71.6512</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>49828672</b>
Nerka Chemicals Pvt Ltd	1	49819612	0	0	49819612	71.6382	49819612	0	49819612	71.6356	0	71.6382	0	0	0	0	49819612
R Shroff Consultants Pvt Ltd	1	9060	0	0	9060	0.0130	9060	0	9060	0.0130	0	0.0130	0	0	0	0	9060
<b>Sub Total (A)(1)</b>	<b>6</b>	<b>50207195</b>	<b>0</b>	<b>0</b>	<b>50207195</b>	<b>72.1955</b>	<b>50207195</b>	<b>0</b>	<b>50207195</b>	<b>72.1929</b>	<b>0</b>	<b>72.1955</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>50207195</b>
<b>2 Foreign</b>																	
<b>(a) Individuals (Non-Resident Individuals / Foreign Individuals)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>(b) Government</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>(c) Institutions</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>(d) Foreign Portfolio Investor</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>(e) Any Other (Specify)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Sub Total (A)(2)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)</b>	<b>6</b>	<b>50207195</b>	<b>0</b>	<b>0</b>	<b>50207195</b>	<b>72.1955</b>	<b>50207195</b>	<b>0</b>	<b>50207195</b>	<b>72.1929</b>	<b>0</b>	<b>72.1955</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>50207195</b>

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

**Note :**

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

**Uniphos Enterprises Limited**
**Table III - Statement showing shareholding pattern of the Public shareholder**

	Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No.	As a % of total Shares held(b)	No.		As a % of total Shares held(b)
								Class eg: X	Class eg: y	Total								
	(I)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
<b>1</b>	<b>Institutions</b>																	
(a)	Mutual Fund	3	2260	0	0	2260	0.0032	2260	0	2260	0.0032	0	0.0032	0	0	NA	NA	2260
(b)	Venture Capital Funds	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	NA	NA	0
(c)	Alternate Investment Funds	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	NA	NA	0
(d)	Foreign Venture Capital Investors	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	NA	NA	0
(e)	Foreign Portfolio Investor	17	9536006	0	0	9536006	13.7123	9536006	0	9536006	13.7118	0	13.7123	0	0	NA	NA	9534156
	Ares Diversified	1	3171572	0	0	3171572	4.5606	3171572	0	3171572	4.5604	0	4.5606	0	0	NA	NA	3171572
	Resonance Opportunities Fund	1	1370000	0	0	1370000	1.9700	1370000	0	1370000	1.9699	0	1.9700	0	0	NA	NA	1370000
	Arial Holdings 1	1	939602	0	0	939602	1.3511	939602	0	939602	1.3511	0	1.3511	0	0	NA	NA	939602
	Eos Multi Strategy Fund Ltd	1	933938	0	0	933938	1.3430	933938	0	933938	1.3429	0	1.3430	0	0	NA	NA	933938
	Acacia Partners, Lp	1	750000	0	0	750000	1.0785	750000	0	750000	1.0784	0	1.0785	0	0	NA	NA	750000
	Acacia Institutional Partners, Lp	1	720000	0	0	720000	1.0353	720000	0	720000	1.0353	0	1.0353	0	0	NA	NA	720000
(f)	Financial Institutions / Banks	5	1100	0	0	1100	0.0016	1100	0	1100	0.0016	0	0.0016	0	0	NA	NA	1100
(g)	Insurance Companies	2	953290	0	0	953290	1.3708	953290	0	953290	1.3707	0	1.3708	0	0	NA	NA	953290
	Life Insurance Corporation Of India	1	895590	0	0	895590	1.2878	895590	0	895590	1.2878	0	1.2878	0	0	NA	NA	895590
(h)	Provident Funds/ Pension Funds	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	NA	NA	0
(i)	Any Other (Specify)	1	1800	0	0	1800	0.0026	1800	0	1800	0.0026	0	0.0026	0	0	NA	NA	1800
	Foreign Bank	1	1800	0	0	1800	0.0026	1800	0	1800	0.0026	0	0.0026	0	0	NA	NA	1800
	<b>Sub Total (B)(1)</b>	<b>28</b>	<b>10494456</b>	<b>0</b>	<b>0</b>	<b>10494456</b>	<b>15.0905</b>	<b>10494456</b>	<b>0</b>	<b>10494456</b>	<b>15.0900</b>	<b>0</b>	<b>15.0905</b>	<b>0</b>	<b>0</b>	<b>NA</b>	<b>NA</b>	<b>10492606</b>
<b>2</b>	<b>Central Government/ State Government(s)/ President of India</b>																	
	<b>Sub Total (B)(2)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0</b>	<b>NA</b>	<b>NA</b>	<b>0</b>
<b>3</b>	<b>Non-Institutions</b>																	
(a)	Individuals		0	0									0			NA	NA	
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	10389	5646300	0	0	5646300	8.1191	5646300	0	5646300	8.1188	0	8.1191	0	0	NA	NA	5274056
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	5	1792310	0	0	1792310	2.5773	1792310	0	1792310	2.5772	0	2.5773	0	0	NA	NA	1792310
	Arun C. Ashar		985000	0	0	985000	1.4164	985000	0	985000	1.4163	0	1.4164	0	0	NA	NA	985000
(b)	NBFCs registered with RBI	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	NA	NA	0
	Trust Employee	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	NA	NA	0
(d)	Overseas Depositories(holding DRs) (balancing figure)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	NA	NA	0
(e)	Any Other (Specify)	971	1403088	0	0	1403088	2.0176	1403088	0	1403088	2.0175	0	2.0176	0	0	NA	NA	1252795
	Trusts	1	17920	0	0	17920	0.0258	17920	0	17920	0.0258	0	0.0258	0	0	NA	NA	17920

Foreign Nationals	1	62	0	0	62	0.0001	62	0	62	0.0001	0	0.0001	0	0	NA	NA	62
Hindu Undivided Family	286	487167	0	0	487167	0.7005	487167	0	487167	0.7005	0	0.7005	0	0	NA	NA	487167
Non Resident Indians (Non Repat)	93	38657	0	0	38657	0.0556	38657	0	38657	0.0556	0	0.0556	0	0	NA	NA	36381
Non Resident Indians (Repat)	461	307079	0	0	307079	0.4416	307079	0	307079	0.4415	0	0.4416	0	0	NA	NA	159063
Clearing Member	20	4283	0	0	4283	0.0062	4283	0	4283	0.0062	0	0.0062	0	0	NA	NA	4283
Bodies Corporate	109	547920	0	0	547920	0.7879	547920	0	547920	0.7879	0	0.7879	0	0	NA	NA	547919
Sub Total (B)(3)	11365	8841698	0	0	8841698	12.7139	8841698	0	8841698	12.7135	0	12.7139	0	0	NA	NA	8319161
Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	11393	19336154	0	0	19336154	27.8045	19336154	0	19336154	27.8035	0	27.8045	0	0	NA	NA	18811767

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

No. of shareholders

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note :

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

GENERATED ON :15/10/2020

NSDL : 30/09/2020

CDSL :30/09/2020

**Uniphos Enterprises Limited**
**Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder**

Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
							No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
							Class eg: X	Class eg: y	Total								
(I)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)				(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)
1 Custodian/DR Holder	2	0	0	2500	2500	0.0036	2500	0	2500	0.0036	0	0.0036	0	0	NA	NA	1700
2 Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)	2	0	0	2500	2500	0.0036	2500	0	2500	0.0036	0	0.0036	0	0	NA	NA	1700

No

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

GENERATED ON :15/10/2020

NSDL : 30/09/2020

CDSL :30/09/2020

**Uniphos Enterprises Limited**
**Table V - Significant Beneficial Owners**

Sr. No.	Details of the SBO			Details of the registered owners			Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*					Date of creation/ acquisition of significant beneficial interest
	Name	Nationality	Nationality (Applicable in case of Any other is selected)	Name	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:					
							Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
1	Jaidev Rajnikant Shroff and Vikram Rajnikant Shroff (Acting together)	United Kingdom		Nerka Chemicals Pvt Ltd	India		71.6356	71.6356	71.6356	No	No	11-11-2010
2	Jaidev Rajnikant Shroff and Vikram Rajnikant Shroff (Acting together)	United Kingdom		R Shroff Consultants Pvt Ltd	India		0.013	0.013	0.013	No	No	11-11-2010
3	Varun Jaidev Shroff ('Acting together' with Jaidev Rajnikant Shroff and Vikram Rajnikant Shroff)	United Kingdom		Varun Jaidev Shroff	United Kingdom		0.0019	0.0019	0.0019	No	No	01-04-2004
4	Tania Jaidev Shroff ('Acting together' with Jaidev Rajnikant Shroff and Vikram Rajnikant Shroff)	United Kingdom		Tania Jaidev Shroff	United Kingdom		0.0007	0.0007	0.0007	No	No	01-10-2006

GENERATED ON : 15/10/2020

NSDL : 30/09/2020

CDSL : 30/09/2020